# THE ENCYCLOPEDIA OF SCMS DAT

# Introduction to DAT

Q1.	What is a DAT?	<ul> <li>DATs are superior in sound qua ing systems. Along with CDs, ti of digital audio.</li> <li>It is predicted that, just as LP a</li> </ul>	e recorder—a new recording and pl ole of digital sound recording and lity, functions and operability to c hey are expected to become a core and EP records now are being repl- ry audio cassette tapes as the main	reproduction. conventional analog record- e technology of the new era aced by CDs, digital audio-
Q2.	What are the chief characteristics of a DAT?	<ul> <li>DAT's chief characteristics are <ol> <li>Sound quality equivalent or s</li> <li>Smaller size than ordinary cas standard mode. (A maximum extended mode.)</li> </ol> </li> <li>Outstanding operability includ and accurate search function.</li> <li>No deterioration of sound qu</li> <li>Ease of use afforded by subc</li> <li>Globally uniform standards the search search search standards the search standard search standards the search standards t</li></ul>	uperior to that of a CD. settes; two hours of continuous re of four hours of recording or pl ing a speedy (several hundred times ality through dubbing. odes.	ayback is possible with the the normal playback speed)
Q3.	Specifically, what does DAT offer in terms of sound quality?	of quantization (digital determ sound quality. Because the DAT sampling bits, the sound reproduction reproduction characteristics a (3) No tape hissing; good S/N ra (4) No drum rotation irregularity (5) Good channel separation. (6) Minimal distortion (0.005 per (7) The signal can be corrected at or distorted even if tape drop (8) Dubbing does not result in th • Even if the recording level fluctu	by an orchestra. ing frequency ( $f_s$ : the frequency at verted to a digital signal) is high. innation of the amplitude of the sam frequency is 48 kHz and the bit nu frequency band is flat, 2 to 22 k re superior even to those of a CE tio. cent or less). nd compensated for so that the so outs occur. e deterioration of sound quality.	which the signal is sampled The greater the bit numeral mpled signal), the better the ameral of quantization is 16 Hz and the high-frequency b, und will not be interrupted g characteristics. Moreover,
04.	In what other ways does a DAT cassette differ from a conven- tional audio cassette deck?	The characteristics and function audio cassette as shown below. Recording method: Frequency characteristics: S/N ratio: Distortion: Tape size: Recording time: Search speed: Tape speed:	Audio Cassette Analog 40 to 18kHz 68dB (Dolby B on) 0.3% 102.4 × 63 × 12 (mm) Max. 2 hrs (double track) About 20 times faster than playback speed 4.75 cm/sec.	those of a conventional DAT Digital 2Hz to 22kHz 96dB 0.005% or less 73 × 54× 10.5 (mm) 2 hrs. (single track) 200 times faster than play- back speed 0.815 mm/sec.

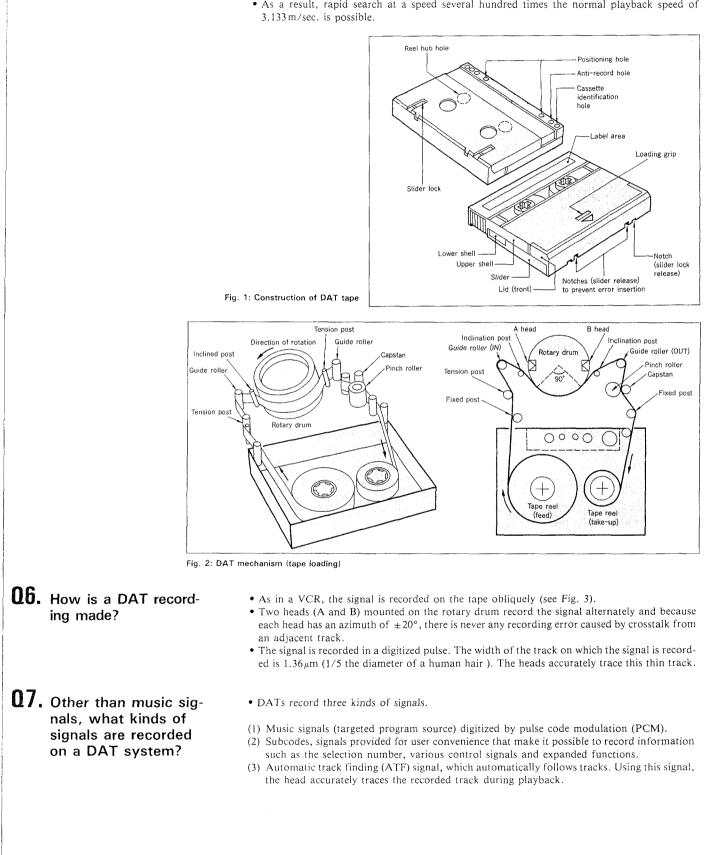
**Q5.** What are the features

of the DAT

mechanism?

• A DAT, like a VCR, employs a helical-scan rotary head.

- The angle of tape winding onto the rotary drum (rotary cylinder) is 90°—smaller than that of a VCR. The load on the tape hence becomes lighter, making it possible to run the tape at high speed while the signal is being read out as the tape maintains contact with the drum.
- As a result, rapid search at a speed several hundred times the normal playback speed of 3.133 m/sec. is possible.



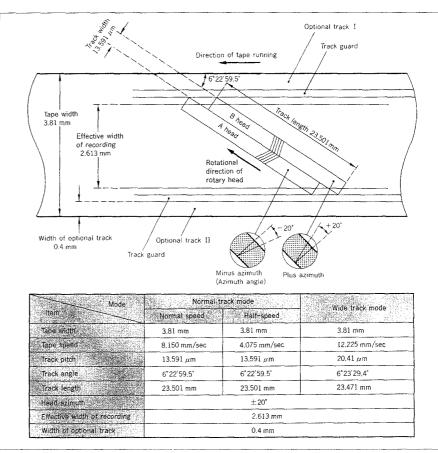


Fig. 3: Tape recording format

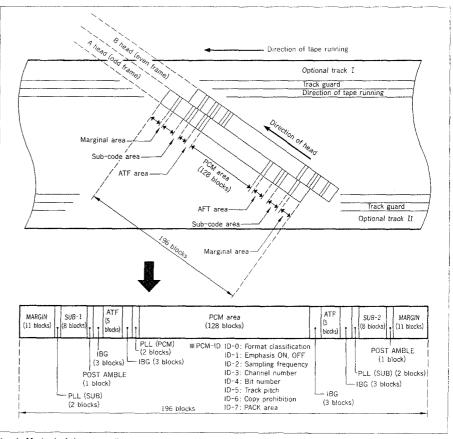


Fig. 4: Method of data recording

# **Q8.** What are the standards for DATs?

- As shown in the table, DAT has six formats.
- Two kinds of modes—recording/reproduction modes (four modes) and reproduction-only modes (two modes)—are available.
- Of these six formats, a standard recording/reproduction mode with a sampling frequency of 48kHz and a reproduction-only mode with a frequency of 44.1kHz are necessary for all DAT decks.
- With an eye to future development, three modes were established as options for recording and reproduction for the following purposes:
- (1) direct digital recording (digital-to-digital) of television sound from satellite broadcasting (A mode);
- (2) long-period (maximum: four hours) recording or playback;
- (3) four-channel recording and reproduction.

	Mode I	Mode II	Mode III	Mode IV	Mode V	Mode VI	
Mode	Standard mode	Option 1	Option 2	Option 3	Pre-reco	orded tape	
Item		Compatible with Satel- lite broadcasting A mode	Long-time mode	4-channel mode	Normal track	Wide track	
Available channels	2	2	2	4	2	2	
Sampling frequency	48 kHz	32 kHz	32 kHz	32 kHz	44,	1 kHz	
Bit numeral of quanti- zation	16-bit (linear)	16-bit (linear)	12-bit (non-linear)	12-bit (non-linear)	16-bit	linear)	
Transmission speed	2.46 Mbit/s	2.46 Mbit/s	1.23 Mbit/s	2.46 Mbit/s	2.4 M	bit/s	
Sub-code capacity	273.1 kbit/s	273.1 kbit/s	136.5 kbit/s	273.1 kbit/s	273.1	kbit/s	
Modulation system		8-10 cc	onversion		8-10 cc	onversion	
Error correction system		Dual Reed	l Solomon		Dual Ree	Dual Reed Solomon	
Tracking system		Area sp	lit ATF		Area s	olit ATF	
Tape width		3.81	. mm		3.8	lmm	
Tape depth		13 ±	μm		13 -	±μm	
Tape in use		Metal p	owder			Oxide tape	
Tape speed	8.15 mm/s	8.15mm/s	4.075 mm/s	8.15 mm/s	8.15 mm/s	12.25 mm/s	
Relative speed	3.133 m/s	3.133 m/s	1.567 m/s	3.133 m/s	3.133 m/s	3.129 m/s	
Standard drum specs		¢30, 9	0° lap	• · · · · · · · · · · · · · · · · · · ·	ø30,9	90° lap	
Drum revolution	2,000 rpm	2,000 rpm	1,000 rpm	2,000 rpm	2,000	) rpm	
Track pitch	· · · · · · · · · · · · · · · · · · ·	13.59	θ1μm		13.591 µm	20.41 µm	
Track angle		6°22	59.5″		6° 22′ 59.5″	6°23′29.4″	
Head azimuth angle		±2	20°		±	20°	
Recording time	120 min. (tape	depth of 13µm)	240 min. (tape depth of 13μm)	120min. (tape depth of 13μm)	120 <i>min.</i> (tape depth of 13μm)	80 min. (tape depth of 13 µm)	
	180 min. (tape o	depth of 10µm)	360 min. (tape depth of 10μm)	180min. (tape depth of 10μm)	180min. (tape depth of 10μm)	120min. (tape depth of 10μm)	
Cassette size	73mm × 54mm × 10.5mm		73mm × 54mm × 10.5mm				
Remarks	<ul> <li>For general purpose, recording/reproduc- tion and playback of pre-recorded tape.</li> <li>For specific pur- poses, it is possible to select optional specifications.</li> <li>In standard format, the sampling fre- quency is 48kHz, higher than that of CD.</li> <li>It is possible to dig- itally record B-mode (sound) of satellite broadcasting BS-2.</li> </ul>	• Option 1 is compat- ible with A-mode (TV sound) of satel- lite broadcasting BS-2.	• Option 2 is devised to meet the need for recording sound of W. German satel- lite broadcasting. Tape speed is reduced to half, thus enabling 4-hour recording.	Option 3 is format- ted to allow 4-channel recording.		ecorded tape makers, requency (44.1kHz) to ed in pre-recorded	

Table 1: Major specifications of R-DAT (comparison of available modes)

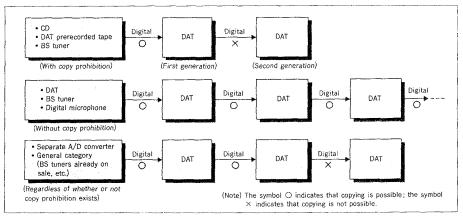
- **09.** Are DATs compatible with audio cassettes and videotape?
- No, there is no compatibility because the shape of the tape and the recording method are different. A DAT deck can only use special DAT tape.

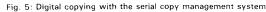
# About SCMS-accommodating DATs and Ordinary DATs

- **Q10.** What is an SCMSaccommodating DAT?
- SCMS stands for serial copy management system. It is a "system to restrict copying from DAT" jointly proposed to national governments by the DAT Joint Working Group, composed of 12 leading Japanese electronics makers, the IFP1 (International Record and Videotape Manufacturers' Federation), RIAA (Recording Industry Association of America), three European electronics makers and 12 member companies of the European DAT Joint Working Group council.
- The features of the SCMS are as follows.
- Digital copies (first generation) can be made even of copyrighted software such as CDs, storesold prerecorded DATs and digital broadcasts. However, second-generation copying from DAT is not possible (See Fig. 5).
- (2) Tape recorded by DAT from analog sources such as conventional LP records, cassettes and broadcasts can be digitally copied up to the second generation, but third-generation copies cannot be made (See Fig. 6).
- (3) Personal copies made by analog recorder from DATs are not affected by the system.
- At a meeting of the DAT Joint Working Group held in Athens in June 1989, a memorandum deciding on the adoption of SCMS DATs was produced and the participants called upon the national governments to give the agreement legal force so that it could not be violated by new-comers to the DAT field.

#### ----Note-----

The DAT Joint Working Group is comprised of 15 companies—Philips International, Thomson Consumer Electronics and Gründig of Europe; and Sanyo Electronics, Sharp, Sony, TDK, Toshiba, NEC Home Electronics, Victor Company of Japan, Pioneer, Hitachi Manufacturing, Fujitsu General, Matsushita Electric Industries and Mitsubishi Electric of Japan (= DAT Joint Working Group Council)—plus two organizations, IFPI and RIAA.





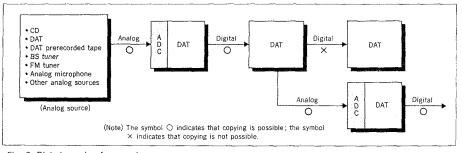


Fig. 6: Digital copying from analog sources

**Q11.** What is the difference between serial copying and parallel copying?

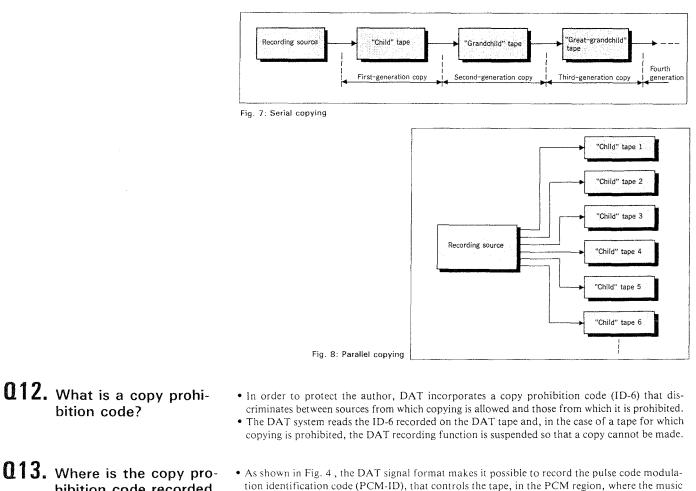
- Serial copying means copying in series from the original source (See Fig. 7). Each succeeding tape is copied from an earlier copy in the manner of parent (original) begetting child (first-generation copy), child begetting grandchild (second-generation copy), grandchild begetting great-grandchild (third-generation copy) and great-grandchild begetting great-grandchild (fourth-generation copy). Under the SCMS, digital copying is possible up to the level of the "child" (first-generation) tape.
- Parallel copying is a method in which copies are made in parallel from the parent DAT (See Fig. 8). Specifically, there is a real-time method by which a user can connect a number of DATs to the parent DAT in parallel and use them to simultaneously produce many tape copies (first generation). There is also a time-sharing method that uses only one DAT for recording copies. In this latter case, a fresh tape is put in after the copy is made from the original and copying from the original is repeated; by exchanging tapes one after another, many first-generation copies can be produced.

#### ---Note----

Digital copying is a method in which a digital output signal from digital audio equipment such as a CD player, LD player, BS tuner, PCM processor or DAT (ordinarily an optical signal or electrical signal output by a coaxial cable) is fed into the DAT input terminal (REC terminal) and digital recordings are made by the digital input signal.

In contrast, there is a method to make digital recordings by inputting the output from the analog output terminal of a CD player, LD player, BS tuner, DAT or other digital apparatus into a DAT.

The first of these methods is called "digital-to-digital." Because it enables the user to record without losing the sound quality of the source, it is the most advantageous form of digital recording, but is has also given rise to the copyright issue. That is, because it is no longer possible to tell which source is the original, (which is the "child" and which is the "grandchild,") there is no difference in sound quality among the generations of tapes.



signal (or other signal that the user wishes to record on the tape) is recorded.

• For this ID, the following eight identification codes have been established.

hibition code recorded on the tape?

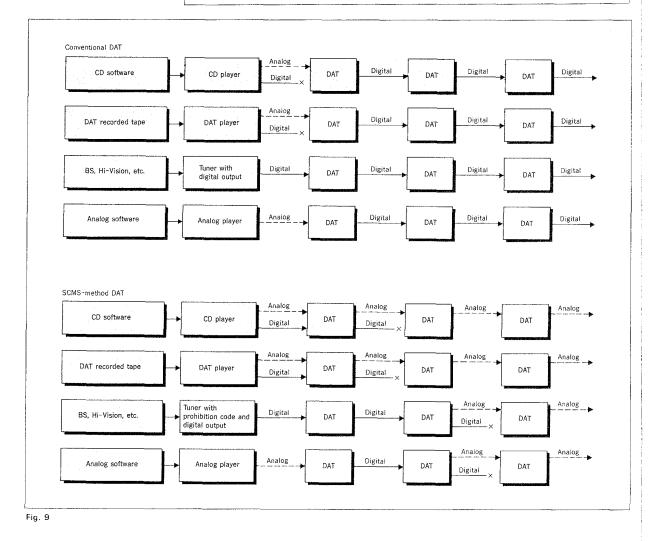
ID-0: Format classification

- ID-1: Emphasis ON, OFF
- ID-2: Sampling frequency, 32kHz, 44.1kHz, 48kHz
- ID-3: Channel number, 2ch/4ch
- ID-4: Bit number of quantization, 12 bits/16 bits
- ID-5: Track pitch
- ID-6: Copy prohibition
- ID-7: PACK area
- Among these IDs, ID-6 is the copy prohibition code. As shown in Table 2, it is a 2-bit code with four variations: 00, 10, 11 and 01.

ID-6	Ordinary DAT	SCMS-accommodating DAT
00 10 11	Copying allowed Copying prohibited Undecided	Copying allowed Copying prohibited Copying one time only possible
01	Undecided	Undecided

Table 2: Copy prohibition codes

Note For the difference between the ordinary DAT (DAT Working Group) method and the SCMS-accommodating DAT, see Fig. 9.



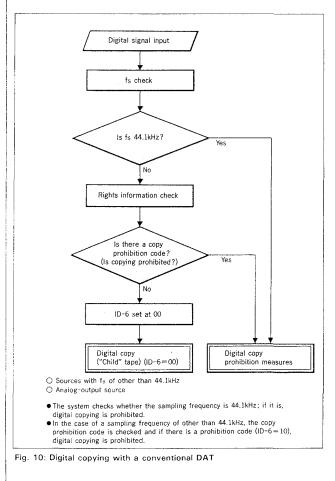
- **Q14.** How is digital copying (digital-to-digital) prohibited in conventional DATs (DAT confab method)?
- In conventional DATs, as shown in Fig. 10, the sampling frequency (f<sub>s</sub>) of the DAT digital input signal is checked and in all cases in which the f<sub>s</sub> is a 44.1 kHz digital signal (CDs, etc.), the REC mute of the DAT deck is engaged, rendering the recording function temporarily inoperative and making it impossible to produce a digital copy.
- When the digital signal has an  $f_s$  of other than 44.1 kHz the system checks whether or not copying is permitted. In the case of a source from which copying is prohibited (ID 6 = ), the recording function again is rendered temporarily inoperative and digital copying is inhibited.

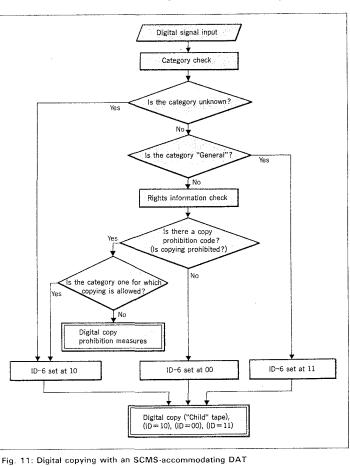
- However, in cases in which copying is permitted (ID 6 = 00), digital copying can be performed any number of times ("child" → "grandchild" → "great-grandchild"...).
- **Q15.** How does SCMSaccommodating DAT discriminate between copy prohibition and copy permission?
- **Q16.** What is the category code? (See Table 3)
- The SCMS-accommodating DAT has not only the conventional copy permission (ID 6 = 00) and copy prohibition (ID 6 = 10) codes, but also a mode in which copying one time only is possible (ID 6 = 11). The system judges whether or not digital copying is possible as shown in Fig. 11.
- As explained the copy prohibition data is recorded in the subcode ID-6 in the PCM region of the DAT. When a digital copy is made, the digital signal, including the copy prohibition data, is output from the DAT deck.
- The category codes were established to enable the reception side to identify the digital signal delivery side when digital signal output from a CD, PCM processor, BS, or DAT is received. They are shown in Table 3.
- In addition to distinguishing the kind of digital equipment with this category code, it is also possible to display the code of the DAC amplifier on the indicator panel (indication of CD, BS, DAT and other sources).

Name of category	Category code
General	00000000
CD	1000000
PCM processor	01000000
BS tuner	00100000
DAT	11000000
DAT-P	11000001
(With DAT-P, digital	copying is possible regardless of
whether or not a cop	y prohibition code exists.)

Table 3: Category codes for digital output

- **Q17.** What is the "General" category listed in Table 3 and Fig. 11?
- The code for the category named "General" is an 8-bit code consisting of all zeroes. It is used with products that have no corresponding category code or that were manufactured before the category codes were established; with sources in which the original signal is analog, such as a signal passed through an A/D converter; when it is unclear whether information on the rights exists; and with sources for which it is unclear if it is permissible to make copies.





• For example, some of the early BS tuners and conventional DAT products output the general category code.

#### **Q18.** What is the mechanism by which firstgeneration digital copies can be made?

- With SCMS-accommodating DATs, only first-generation digital copies can be made of music tapes that have copy prohibition codes. The key points of this mechanism are the copy prohibition code ID-6 recorded on the tape and the category code of the digital output (See Table 4).
- (1) In the case of a source-side ID-6 of "11" (indicating that one-time-only copying is possible)
- The category code of the digital output becomes DAT-P and the copy prohibition code becomes "0" (copy prohibition). This means in conventional-method DATs, there is a copy prohibition code and digital copying is not possible.
- However, in SCMS-accommodating DAT, even if there is a copy prohibition code in the digital output, the category code is DAT-P and it is possible to made a digital copy, disregarding the prohibition code.
- There is one exception to this. In newly recorded tapes, the code "10" which indicates that copying is prohibited, is recorded in the ID-6. Therefore, no digital copies can be made from these tapes.
- In other words, when a tape with an ID-6 of "11" is digitally copied, the ID-6 is changed to "10" and recorded on the tape. Thus, no further digital copies can be made from this reproduced tape. This is the principle of first-generation copies.

(2) In the case of a source-side ID-6 of "10" (indicating that copying is prohibited)

• The category of the digital output becomes "DAT" and the copy prohibition code becomes "0" (copying prohibited). This means that a signal indicating that "copying is not allowed" is sent from the DAT and digital copying is not possible with either SCMS-accommodating DATs or conventional-method DATs.

#### (3) In the case of a source-side ID-6 of "00" (indicating that copying is allowed)

When a tape for which copying is allowed is played back, the category code of the digital output becomes "DAT" and the copy prohibition code becomes "1" (copying permissible). Therefore, because the DAT sends a signal indicating that "copying is allowed," digital copying is possible with either SCMS-accommodating DATs or conventional-method DATs. In a newly copied tape, the code ID-6 = 00 (copying allowed) is recorded, so it is possible so make digital copies from this tape repeatedly.

ID-6 (source side)	urce side) Digital output		Prohibition code after digita	
	Category code	Copy prohibition code	copying (ID-6)	
00	DAT 11000000	1 (copying allowed)	00	
10	DAT 11000000	O (copying prohibited)	Copying not possible	
11	DAT-P 11000001	0 (copying prohibited)	10	

Table 4: The relationship between the ID-6 code and category code of an SCMS-accommodating DAT

- **Q19.** What happens when digital copies are made from a tape recorded from an analog source (from the analog input terminal)?
- With SCMS-accommodating DATs, the ID-6 becomes "11" (one-time-only copying allowed) at the time of analog recording. When tapes recorded in this manner are played back, the prohibition code of the digital output becomes "0" (copying prohibited), but the category code becomes DAT-P. Hence, the copy prohibition code can be ignored and digital copying is possible.
  However, because the copied signal is an ID-6 of "10" (copying prohibited), third-separation.
- However, because the copied signal is an ID-6 of "10" (copying prohibited), third-generation digital copies cannot be made.
- **Q20.** Can tapes recorded by a conventional DAT be played on an SCMS-accommodating DAT?
- Yes, they can. And tapes recorded by an SCMS-accommodating DAT can also be played on a conventional DAT without problem.
- As far as playback is concerned, these two kinds of DATs are fully compatible.

**021.** Are they also compatible when it comes to copying music tapes?

- There are two kinds of conventional DATs, early products that output with the category code of "General" and products that output the category of "DAT." Thus, it is necessary to assess the two separately.
- (1) In the case of tapes played using a DAT that outputs the "General" category code
- Because the music tape ID-6 of "11" (one-time-only copying possible) is interpreted as "10", the digital output becomes "copy prohibition" and digital copying is not possible.

However, the category code becomes "General" and digital copies can be made on an SCMSaccommodating DAT. Moreover, the ID-6 becomes "11" (one-time-only copying possible).

- Next, when this tape is played back, the category code changes to DAT-P, meaning that the copy prohibition code can be disregarded and a digital copy can be made again.
- This time, however, the ID-6 changes to "10" (copying prohibited), meaning that digital copies can no longer be made even with an SCMS-accommodating DAT.

(2) In the case of tapes played using a conventional DAT outputting the DAT category

• The ID-6 of "11" for music tapes is interpreted as "10" and the digital output becomes "copy prohibition" (the category is DAT, but copying is prohibited). Therefore, digital copying cannot be performed either with an SCMS-accommodating DAT or with a conventional DAT.

(3) In the case of tapes of played using an SCMS-accommodating DAT

- In this case, copying is prohibited and digital copies cannot be made with a conventional DAT. However, the category code becomes "DAT-P," so the copy prohibition code can be ignored and digital copies can be made on an SCMS-accommodating DAT.
- This time, however, the ID-6 changes to "10" (copying prohibited), meaning that it is no longer possible to make digital copies even with an SCMS-accommodating DAT.
- The ID-6 copy prohibition codes are as shown in Table 2, but in the case of conventional DAT, the DAT Working Group standards were slightly different. The significances of the codes "11" and "10" were undecided, "00" stood for "copying allowed" and "10" stood for copying prohibited. Because of this, in many of the conventional DATs, only the first of the two bits in the code is checked and the system interprets the code as "copying prohibited" when the first bit is "1" and "copying allowed" when the first bit is "0." For this reason, the kinds of mistaken interpretations mentioned in the text are made.
- **Q22.** Is it possible to make digital copies of tapes copied from analog input with conventional DATs?
- **Q23.** Is it possible to make a digital copy of a tape recorded from analog input using an SCMS-accommodating DAT?
- In conventional DATs it is possible to make digital copies without limit.
- When a signal reproduced by a conventional DAT is recorded by an SCMS-accommodating DAT, digital copying is only possible up to the second generation in cases where the signal is output by early-model conventional DATs that output the "General category" code. With conventional DATs that output the "DAT" category code, unlimited digital copying is possible.
- With an SCMS-accommodating DAT, only first-generation digital copies can be made. However, the ID-6 of the copied tape becomes "10" (copying prohibited), so no further digital copying is possible.

# STRUCC OUNCONCERNING

**Q24.** What are the prospects for the future development of DAT?

- Among the standards for DAT, a satellite-broadcast-accommodating mode and modes to accommodate four-channel recording are available (See Table 1) and can be selected in accordance with the application of the product, allowing for future technological development.
- In terms of form as well, a variety of new developments can be expected. Changes in tape form, format and the recording mechanism are being considered to enable the production of not only stationary DAT decks, but also car stereo DATs (Japanese makers unveiled car stereo DATs in July), portable models (a number of models have already been brought out by several Japanese makers), headphone-stereo compact DATs and DATs exclusively for live recording.
- Furthermore, the applications of DAT technology will not be limited to audio-related products. It is predicted that DAT's outstanding recording capabilities will be used to advantage in still picture reproduction and in large external memories with a memory capacity for 1.2GB (1.2GB for 120-minute tapes; 540MB CDRO memory capacity; 1MB for 3.5-inch floppy disks).

**Q25.** What kinds of DATs are the stamp-sized DATs that have been brought out? (See Photo 1)

- In January 1990, Sony unveiled a stamp-sized digital tape recorder. This format has been tentatively named the NT format (See Table 5).
- The features of the stamp-sized cassettes are as follows (See Table 6).
- (1) Small size  $(30 \times 21.5 \times 5 \text{ mm})$  and low weight (2.8 gr). Volume 1/4.2 that of a microcassette and 1/25 that of a compact cassette. Capable of 120 minutes of digital stereo sound recording and reproduction.
- (2) The track is divided into an upper and a lower half and separate helical tracks are created for the forward and reverse directions. As is the case with conventional compact cassettes, the cassette can be turned over and both sides used.
- (3) Employs nonloading method, meaning that the rotary head drum is inserted into the cassette from the front-surface opening without pulling the tape out from the cassette, as shown in Fig. 12.

A molded tape guide is housed in the cassette and plays the same role as the slanted guide and the perpendicular guide in the M-loading method (R-DAT uses M-loading; see Fig. 2).

(4) DIN Hi-Fi-class stereo sound can be digitally recorded and played back (See Table 7).

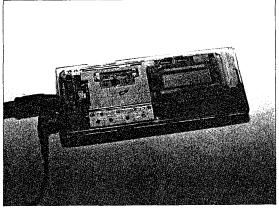
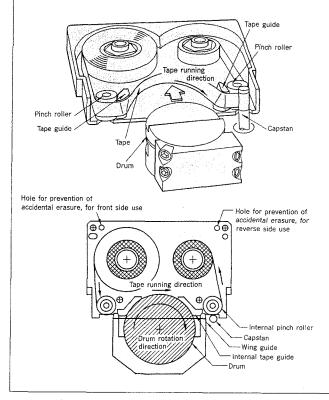


Photo 1: Prototype of world's smallest DAT and stamp-sized NT cassette



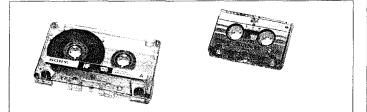


Photo 2: Microcassette (left) and stamp-sized DAT NT cassette

Recording method	Two rotating heads, helical scan, azimuth recording
Tape width	2.5 mm
Tape running speed	5.5 mm/s
Drum diameter	14.8 mm
Drum lap angle	100° (mechanical lap angle)
Field frequency	50 Hz
Drum rotation frequency	50 Hz (for double-density scan)
Relative velocity of head	About 2.3 m/s (for double-density scan)
Track pitch	About 8.5 µm
Still angle	About 4.4°
Number of input channels	2 ch, stereo
Sampling frequency	32 kHz
Quantization	12-bit polygonal line (corresponding to 17 bits)
Error correction and compensation code	Cross interleave code
Degree of redundancy	33% (synchronous idle, including address)
Modulation method	LDM-2 (Low Deviation Modulation)

Table 5: NT-format DAT specifications

Size	30  imes 21.5  imes 5 (mm) (when cover is closed)
Weight	2.8 g (120-minute tape)
Tape width	2.5 mm
Tape thickness	About 5 µm
Tape length	About 20 m (120-minute tape)
Tape kind	Ni-Co metal deposition tape
Maximum recording time	120 minutes (forward and reverse)
Maximum recording capacity	About 690 MB

Table 6: NT cassette specifications

Frequency characteristics	5~15,000 Hz (+1 dB, -3 dB)
D range	80 dB or more
Overall distortion factor	0.05% or less
Wow and flutter	Measurement limit or under (crystal precision)
Size	$115 \times 50 \times 21 (mm)$
Weight	138 g (including battery and cassette)
Power source	DC 1.5 V, one AA dry-cell battery
Total power consumption	About 270 mW (when powered by dry battery)
Battery life	About six hours (recording, playback; when alkaline AA dry-cell battery is used)
Head used	MIG (metal~in-gap) head
LSIs and ICs used	Six newly developed items, three general-purpose items

Table 7: Main specifications of NT recorder